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BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application  
☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP)  
of prior application no.: ; filed  
☐ A Small Entity Statement(s) was filed in the prior application; Status still proper and desired.

Inventor(s) or Application Identifier:

**M. Ali Khatibzadeh**, resident of Morrisville, North Carolina;  
**Aristotle Hadjichristos**, resident of Apex, North Carolina;  
**Scott R. Justice**, resident of Durham, North Carolina;  
**Steven G. Cairns**, resident of Louisburg, North Carolina;  
**Charles Gore, Jr.**, resident of McKinney, Texas;  
**Jeffrey Schlang**, resident of Dallas, Texas;  
**Erik Bengtsson**, resident of Cary, North Carolina;  
**William O. Camp, Jr.**, resident of Chapel Hill, North Carolina; and  
**David R. Pehlke**, resident of Chapel Hill, North Carolina

Entitled: IQ MODULATION SYSTEMS AND METHODS THAT USE SEPARATE PHASE AND  
AMPLITUDE SIGNAL PATHS

Enclosed are:

- ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
- ☒ 21 pages of Specification (including 38 claims)
- ☒ 10 sheets of Formal Drawings (35 USC 113)
- ☐ Oath or Declaration
  - ☐ newly executed (*original or copy*)
  - ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*)) [Note Box 5 Below]
  - ☐ **DELETION OF INVENTOR(S)** (*Signed statement deleting inventor(s) named in the prior application*)
- ☐ Incorporation By Reference (*useable if box 4b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

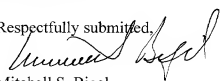
6. ☐ Microfiche Computer Program (*Appendix*)  
 7. ☐ Assignment papers (*cover sheet(s) and document(s)*)  
 8. ☐ Small Entity Statement(s)  
 9. ☐ Information Disclosure Statement, PTO-1449, and references cited  
 10. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)  
 11. ☐ English Translation Document  
 12. ☐ Certified Copy of Application No. ; Filed  
 13. ☐ Sequence Listing/ Sequence Listing Diskette  
     a. ☐ computer readable copy  
     b. ☐ paper copy  
     c. ☐ statement in support  
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 16. ☒ Other: Application Filed Under 37 CFR 1.41(c)

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate      Fee	Large Entity Rate      Fee
BASIC FEE			\$355.00	\$710.00
TOTAL CLAIMS	38 - 20 =	18	x 9 = \$	x 18 = \$324.00
INDEP CLAIMS	4 - 3 =	1	x 40 = \$	x 80 = \$80.00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 135 = \$	+ 270 = \$
<i>If the difference in Col. 1 is less than zero, Enter "0" in Col. 2</i>			Total \$	Total \$1114.00

- ☐ A check in the amount of \$ to cover the filing fee is enclosed.
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- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.  
☐ Any additional filing fees required under 37 CFR 1.16.  
☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
 Mitchell S. Bigel  
 Registration No. 29,614

Correspondence Address:  
 USPTO Customer Number: **20792**  
 Myers Bigel Sibley & Sajovec, P.A.  
 Post Office Box 37428  
 Raleigh, NC 27627  
 Tel (919) 854-1400  
 Fax (919) 854-1401

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 Susan E. Freedman  
 Date of Signature: October 31, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: **M. Ali Khatibzadeh**, resident of Morrisville, North Carolina;  
**Aristotle Hadjichristos**, resident of Apex, North Carolina;  
**Scott R. Justice**, resident of Durham, North Carolina;  
**Steven G. Cairns**, resident of Louisburg, North Carolina;  
**Charles Gore, Jr.**, resident of McKinney, Texas;  
**Jeffrey Schlang**, resident of Dallas, Texas;  
**Erik Bengtsson**, resident of Cary, North Carolina;  
**William O. Camp, Jr.**, resident of Chapel Hill, North Carolina; and  
**David R. Pehlke**, resident of Chapel Hill, North Carolina

For: IQ MODULATION SYSTEMS AND METHODS THAT USE SEPARATE PHASE  
AND AMPLITUDE SIGNAL PATHS

October 31, 2000

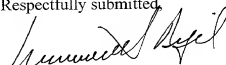
Box Patent Application  
Commissioner for Patents  
Washington, DC 20231

APPLICATION FILED UNDER 37 CFR 1.41(c)

Sir:

The above identified application is being filed on behalf of the inventors under the provisions of 37 CFR 1.41(c). A Declaration and Power of Attorney from the inventors will follow, 37 CFR 1.63.

Respectfully submitted,

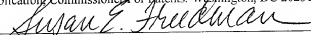
  
Mitchell S. Bigel  
Registration No. 29,614

Myers Bigel Sibley & Sajovec, P.A.  
P.O. Box 37428  
Raleigh, NC 27627  
Tel (919) 854-1400  
Fax (919) 854-1401

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## **IQ MODULATION SYSTEMS AND METHODS THAT USE SEPARATE PHASE AND AMPLITUDE SIGNAL PATHS**

### **Field of the Invention**

This invention relates to modulation systems and methods, and more particularly to IQ modulation systems and methods.

### **Background of the Invention**

Modulation systems and methods are widely used in transmitters to modulate information including voice and/or data onto a carrier. The carrier may be a final carrier or an intermediate carrier. The carrier frequency can be in UHF, VHF, RF, microwave or any other frequency band. Modulators also are referred to as “mixers” or “multipliers”. For example, in a wireless communications terminal such as a mobile radiotelephone, a modulator can be used for the radiotelephone transmitter.

Figure 1 illustrates a conventional IQ modulator. As shown in Figure 1, an IQ modulator **110**, also referred to as a “quadrature modulator” or a “quadrature modulator”, includes a quadrature splitter **120**, also known as a 90° phase shifter, and a pair of multipliers **116a**, **116b** coupled to the quadrature splitter. A controlled oscillator **115**, such as a Voltage Controlled Oscillator (VCO), is coupled to the quadrature splitter **120** to produce 90° phased shifted oscillator signals. In-phase (I) data **111a** and quadrature-phase (Q) data **111b** are coupled to a respective multiplier or mixer **116a**, **116b**. Digital input data is converted to analog data by I Digital-to-Analog Converter (DAC) **114a** and Q DAC **114b**, respectively. The outputs of the respective DACs **114a** and **114b** are applied to the respective low pass filters **112a** and **112b** to provide the respective I and Q data inputs **111a** and **111b**. The modulator **110** modulates the input data on a carrier by summing the outputs of the multipliers **116a**, **116b** at a summing node **118**. The modulated carrier **113** is amplified by a power amplifier **122** and transmitted via an antenna **124**.

In modern wireless communications, wireless communications terminals such as mobile radiotelephones continue to decrease in size, cost and/or power consumption. In order to satisfy these objectives, it generally is desirable to provide IQ modulation systems and methods that can provide high power modulation while reducing the amount of battery power that is consumed. Unfortunately, the power amplifier **122** of an IQ modulator may consume excessive power due to efficiency limitations therein. More specifically, it is known to provide a linear class-A or class-AB power amplifier **122** that may have efficiencies as low as 30 percent or less. Thus, large amounts of battery power may be wasted as heat. Moreover, the noise figure of a conventional IQ modulator may be excessive so that high cost Surface Acoustic Wave (SAW) filters may need to be used.

Figure 2 illustrates other conventional modulation systems. As shown in Figure 2, I-data and Q-data is modulated on an Intermediate Frequency (IF) signal supplied by a controlled oscillator such as a voltage controlled oscillator **202** by applying the I-data and Q-data and the output of the IF voltage controlled oscillator **202** to an IQ modulator **204**. The output of the modulator is then bandpass filtered by an IF bandpass filter **206**. A local oscillator **212** and an up-conversion mixer **214** are used to up-convert the output of the bandpass filter **206** to a desired radio frequency. The output of the up-conversion mixer **214** is bandpass filtered by a radio frequency bandpass filter **216** to reduce noise and spurious levels. The filtered signal is then amplified using a variable gain amplifier **222** to provide the appropriate signal level to a power amplifier **226** which delivers the signal to an antenna **232** via a duplex filter **234**. Additional RF bandpass filtering **224** may be used between the variable gain amplifier **222** and the power amplifier **226**.

Figure 3 is a block diagram of other conventional modulation systems wherein like elements to Figure 2 are labeled with like numbers. The approach shown in Figure 3 is similar to that of Figure 2 except the IF signal is up-converted to the RF band first and then modulated in the IQ modulator **204**.

Unfortunately, in either of the conventional approaches of Figures 2 or 3, the IQ modulator **204**, up-conversion mixer **214** and/or the variable gain amplifier **222** may generate significant amounts of additive noise and spurious levels which may need to be filtered before the signal reaches the power amplifier **226**. Systems of Figures 2 and 3 also may suffer from high current consumption and may need to use

an excessive number of filters to meet the desired output spurious level and desired noise level.

It also is known to separately modulate the amplitude and phase of an input signal using an "rTheta" technique. In the rTheta technique, the phase is modulated at the oscillator, and the amplitude is modulated at the power amplifier stage. Unfortunately, the rTheta technique may require the oscillator phase locked loop to support the phase modulation bandwidth. With wide bandwidth radiotelephone signals such as TDMA and CDMA signals, it may be increasingly difficult to provide the requisite bandwidth in the oscillator phase locked loop.

### Summary of the Invention

Embodiments of the present invention provide modulation systems and methods having separate phase and amplitude signal paths. In particular, according to embodiments of the present invention, a digital signal processor generates in-phase, quadrature-phase and amplitude signals from a baseband signal. A modulator modulates the in-phase and quadrature-phase signals to produce a modulated signal. A phase locked loop is responsive to the modulated signal. The phase locked loop includes a controlled oscillator having a controlled oscillator input. An amplifier includes a signal input, an amplitude or gain control input and an output. The signal input is responsive to the controlled oscillator output and the amplitude control input is responsive to the amplitude signal.

In other embodiments according to the present invention, the in-phase and quadrature-phase signals are normalized in-phase and quadrature-phase signals. In these embodiments, the digital signal processor generates the normalized in-phase signal as a respective sine or cosine of an angle theta and generates the normalized quadrature-phase signal as a respective cosine or sine of the angle theta, where theta is an angle whose tangent is the quadrature-phase signal divided by the in-phase signal. The amplitude signal also is normalized and is generated as the square root of the sum of the in-phase signal squared and the quadrature-phase signal squared.

In other embodiments, the modulator is a first modulator and the modulated signal is a first modulated signal. These embodiments further comprise a second modulator that is responsive to the controlled oscillator output to produce a second modulated signal wherein the phase locked loop also is responsive to the second modulated signal. Moreover, in other embodiments a power control signal also is

provided and the amplitude control input is responsive to the amplitude signal and to the power control signal.

Other modulation systems and methods according to embodiments of the invention include a quadrature modulator that modulates in-phase and quadrature-  
5 phase signals to produce a modulated signal. A phase tracking subsystem is responsive to the quadrature modulator to produce a phase signal that is responsive to phase changes in the modulated signal and that is independent of amplitude changes in the modulated signal. An amplitude tracking subsystem is responsive to the  
10 modulator to produce an amplitude signal that is responsive to amplitude changes in the modulated signal and that is independent of the phase changes in the modulated signal. An amplifier has a signal input, an amplitude control input and an output. The signal input is responsive to the phase signal and the amplitude control input is responsive to the amplitude signal.

In other embodiments, the phase tracking subsystem comprises a phase locked  
15 loop that is responsive to the modulated signal. The phase locked loop includes a controlled oscillator having a controlled oscillator output that produces the phase signal.

In other embodiments, the amplitude tracking system includes an automatic gain control subsystem that is responsive to the modulated signal to produce the  
20 amplitude signal. In some embodiments, the automatic gain control subsystem comprises a first envelope detector that is responsive to the modulated signal, a second envelope detector that is responsive to the phase locked loop and a comparator that is responsive to the first and second envelope detectors to produce the amplitude signal. In yet other embodiments, the automatic gain control subsystem comprises a  
25 first envelope detector that is responsive to the modulated signal, a second envelope detector that is responsive to the amplifier and a comparator that is responsive to the first and second envelope detectors to produce the amplitude signal. In still other embodiments, the amplitude tracking system comprises an envelope detector that is responsive to the modulated signal to produce the amplitude signal.

In all of the above-described embodiments, an optional power amplifier may  
30 be included that is responsive to the output of the amplifier having a signal input, an amplitude control input and an output. Alternatively, a power amplifier itself may have the signal input, the amplitude control input and the output. A transmit antenna is responsive to the amplifier or power amplifier.

Moreover, in all of the above-described embodiments, the amplifier may include a variable gain amplifier and/or a power amplifier, at least one of which includes an amplitude control input that is responsive to the amplitude signal. When both a variable gain amplifier and a power amplifier are used, the variable gain amplifier may precede the power amplifier or the power amplifier may precede the variable gain amplifier, regardless of which one includes the amplitude control input. Additional variable gain amplifiers and/or power amplifiers also may be included in the amplifier.

Finally, a user interface may be provided that generates the baseband signal or the in-phase and quadrature-phase signals in response to user input to provide a wireless communications terminal such as a radiotelephone.

#### **Brief Description of the Drawings**

Figures 1-3 are block diagrams of conventional IQ modulators; and  
Figures 4-13 are block diagrams of IQ modulation systems and methods according to embodiments of the present invention.

#### **Detailed Description of Preferred Embodiments**

The present invention now will be described more fully hereinafter with reference to the accompanying drawings, in which preferred embodiments of the invention are shown. This invention may, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth herein. Rather, these embodiments are provided so that this disclosure will be thorough and complete, and will fully convey the scope of the invention to those skilled in the art. Like numbers refer to like elements throughout.

Embodiments of the present invention stem from a realization that potential shortcomings of the systems of Figures 2 and 3 may arise from the two mixing (heterodyning) operations that are performed. In particular, a frequency mixing occurs in the up-conversion mixer **214** and in the IQ modulator **204** which may include two double balanced mixers. The frequency mixing may inherently generate high spurious levels and/or noise. Moreover, while some spurious levels far from the transmit carrier can be attenuated by the filters **206**, **216** and **224**, other levels may be within the allowed transmission band of the transmitter and may not be filtered. Moreover, the amount of filtering to reduce the output noise and spurious levels may



exceed that which can be achieved with a single RF filter. Thus, multiple filters may need to be placed in the modulator. This also can add cost and/or space to the system. Finally, in order to reduce distortion of the modulated signal (information plus the carrier) and to meet transmit voice quality needs, the up-conversion mixer **214**, the IQ modulator **204** and the variable gain amplifier **222** may run at high current levels, which can reduce the operating time and generate excessive heat for portable wireless communication terminals.

Embodiments of the present invention can reduce the output noise and/or spurious levels so that the need for additional filters may be reduced and preferably may be eliminated. Moreover, the current consumption of an IQ modulator can be reduced while still meeting a desired linearity.

Referring now to Figure 4, modulation systems and methods according to embodiments of the present invention are shown. As shown in Figure 4, these embodiments of modulation systems and methods **400** include a quadrature (IQ) modulator **420** that modulates in-phase and quadrature-phase signals, referred to as I-data and Q-data, that may be generated by a user interface **410** in response to user commands, to produce a modulated signal **422**. A phase tracking subsystem **430** is responsive to the quadrature modulator **420** to produce a phase signal **432** that is responsive to phase changes in the modulated signal **422** and that is independent of amplitude changes in the modulated signal **422**. An amplitude tracking subsystem **440** also is included that is responsive to the modulator **420** to produce an amplitude signal **442** that is responsive to amplitude changes in the modulated signal and that is independent of phase changes in the modulated signal **422**. An amplifier **450** includes a signal input, an amplitude or gain control input and an output. The signal input is responsive to the phase signal **432**. The amplitude control input is responsive to the amplitude signal **442** and the output is applied to a transmit antenna **470**, optionally via a power amplifier **460**. Alternatively, the amplifier **450** may be a power amplifier.

Referring now to Figure 5, other modulation systems and methods according to embodiments of the present invention are shown. As shown in Figure 5, these modulation systems and methods **500** include an IQ modulator **420**, a phase tracking subsystem **430'**, an amplitude tracking subsystem **440'**, an amplifier **450**, a power amplifier **460** and an antenna **470**. As shown, the transmitter carrier frequency is generated using a fundamental radio frequency controlled oscillator such as a voltage controlled oscillator **532** which can have an extremely high signal-to-noise ratio, on

the order of -165dBc/Hz at 45MHz away. The output signal level is controlled using an amplifier **450** such as a saturated variable gain amplifier. The information signal (I-data and Q-data) is first modulated on an IF signal using the IQ modulator **420**. The IF signal is generated by a separate fundamental controlled oscillator such as a voltage controlled oscillator **510**. The modulated signal then is provided to separate amplitude and phase tracking subsystems in the form of amplitude and phase tracking loops **440'** and **430'**, respectively. The modulated IF signal **422** acts as a reference for amplitude and phase comparators in the two corresponding tracking loops **440'** and **430'**. The RF output signal from the amplifier **450** is mixed down to the IF frequency using a system local oscillator **534**. The VCO **532** is phase locked using the phase locked loop that includes dividers **535a**, **535b**, a phase-frequency detector **537**, a pair of low pass filters **538a** and **538b**, and a limiter **539**. This phase locked loop acts as the channel synthesizer for the transmitter. The output of the mixer **533** is low pass filtered via low pass filter **538b** and fed to the limiter **539** along with the modulated reference IF signal **534**.

In the phase tracking loop **430'**, optional RF dividers **535a** and **535b** are placed in the reference and compare arms of the phase-frequency detector **537** to divide by M and N respectively. Since practical implementation of phase-frequency detectors at high frequencies may be difficult, this can allow for the lowering of the comparison frequency and can have negligible effect on the phase comparison. It also will be understood that the dividers **535a** and **535b** may be set such that  $M=N$ , or  $M=N=1$ , or may be eliminated.

In the amplitude-tracking loop **440'**, a pair of matched envelope detectors **442a** and **442b** are used to compare the amplitude level of the down-converted IF signal or other signal from the phase locked loop to that of the modulated signal **422**. Good matching between the two envelope detectors **442a** and **442b** may be provided to reduce AM offsets in the loop. Also, an adjustable constant delay element **445** may be introduced in the amplitude tracking loop **440'** to match the total group delay for the amplitude and phase signals. If the total delay is not matched, the output signal may not have the desired modulation characteristics.

Since the output power level of the transmitter is controlled by the amplifier **450** (VGA1) over a wide range, the total loop gain may change for the amplitude and phase tracking loops. In the phase tracking loop, the limiter **539** and/or the limiting action of the phase detector **537** can maintain constant loop gain, while in the

- amplitude tracking loop **440'**, a separate variable gain amplifier **446** (VGA2) with the opposite gain versus control voltage slope as the amplifier **450** is used. As the gain of VGA1 **450** is reduced to reduce output signal level, the gain of VGA2 **446** may be increased by the same amount to keep the signal level into the matched envelope detectors **442a**, **442b** nearly constant. Otherwise, the envelope detectors **442a**, **442b** may need to have good matching over a very large (>50dB) range of signal levels at the input. Such wide dynamic range envelope detectors may be difficult to implement. One additional potential advantage of embodiments of Figure 5 is that the AM/PM distortion in VGA1 **450** is compensated in the phase tracking loop **430'**.
- 10 This can help achieve low phase and amplitude error over a wide range of output power levels.

- The output signals of the phase and amplitude detectors are filtered using low-pass filters **538a**, **444** which can have bandwidths large enough to pass the modulation signal (baseband) but narrow enough to suppress noise and spurious levels outside the modulation bandwidth. In effect, the low-pass filters **538a**, **538b** and **444** in the phase and amplitude tracking loops **440'** and **430'** can act as bandpass filters on the RF transmit carrier signal with very narrow bandwidth (i.e., very high-Q). For example, for 30kHz modulation bandwidth (common to digital wireless phones), the low-pass filter bandwidth can be less than 1MHz. Therefore, the low-pass filter in the loop can be equivalent to a bandpass filter centered at the transmit frequency (e.g., 825MHz) having a bandwidth of less than 1MHz ( $Q > 825$ ). The noise and spurious levels outside the 1MHz bandwidth around the carrier are attenuated according to the attenuation characteristics of the low-pass filters in the tracking loops. Such low-pass filters can be implemented with resistors and capacitors, and thus can eliminate the need for expensive, multiple SAW filters.

- Direct amplitude modulation of power amplifiers (especially saturated class-D power amplifiers) may be known. Some embodiments of the invention can provide electrical isolation between the modulation loop and the antenna. For example, embodiments of Figure 5 can utilize the power amplifier **460** as an isolator providing electrical isolation between the antenna **470** and the transmit modulator. In this case, the efficiency of the amplifier (VGA1) **450** may not be as important to the overall power consumption. Therefore, it can be easier to implement simultaneous AM modulation and large power control range in VGA1. The amplifier **450** can be designed to operate in a fixed high-efficiency, linear mode without the need for

dynamic bias adjustment. Alternatively, other embodiments can amplitude modulate the power amplifier itself. This can provide enhanced linearity margin and/or enhanced efficiency by utilizing a saturating power amplifier and restoring envelope amplitude through modulation of its supply.

5 Figure 6 depicts embodiments of the present invention in a half-duplex system such as a TDMA-only IS-136 terminal or an EDGE terminal. In this case, the signal-to-noise ratio of the transmitter can be high enough so that the duplexer filter **480** of Figure 5 can be replaced by a transmit-receive (T/R) switch **580** in the transmit path. Also in Figure 6, the power amplifier **460** itself is amplitude modulated.

10 It also will be understood by those having skill in the art that in Figures 5 and 6, the input to the mixer **533** may be taken between the VCO **532** and the amplifier **450** rather than between the output of the amplifier **450** and the power amplifier **460** as illustrated.

Figure 7 is a block diagram of other modulation systems and methods  
15 according to embodiments of the invention. In these embodiments, the amplitude tracking subsystem **440''** is implemented as a direct modulation or an open loop. This may be accomplished, for example, if an amplifier **450** having a linear voltage control characteristic is used. Such a circuit is feasible with integrated circuit design techniques. For embodiments of Figure 7, the divide ratio of the phase locked loop is  
20 one so that M and N are set to 1 or no dividers **535a**, **535b** are used. The IF amplifier **746** after the down-converting mixer **533** can be either a variable gain amplifier or an AGC amplifier. This amplifier **746** may be used in order to reduce the input operating range of the limiter **539**. The AM/PM distortion of the limiter **539** thereby can be reduced. In Figure 7 the amplitude tracking subsystem **440''** includes an envelope  
25 detector **742** such as a diode and an adjustable delay **445**.

Figure 8 depicts embodiments that can be used in a half-duplex system such as a TDMA-only IS-136 terminal or an EDGE terminal. In Figure 8, the signal-to-noise ratio of the transmitter can be high enough so that the duplexer filter **480** can be replaced by a transmit-receive (T/R) switch **580** in the transmit path that couples to a  
30 receiver amplifier **490**.

It will be understood that if the phase-frequency detector **537** is difficult to implement as a low current standard integrated circuit solution then a standard active analog phase detector such as a Gilbert cell mixer can be used. Assisted acquisition techniques then may be used to provide fast lock times for the PLL.

Figure 9 is a block diagram of modulation systems and methods according to other embodiments of the present invention. Figure 9 illustrates dual mode modulation systems and methods **900** that can produce cellular and PCS signals. As shown in Figure 9, a phase locked loop includes phase frequency detector **1140** and a low pass filter **1144a**, **1144b** and a controlled oscillator such as a VCO **1142a**, **1142b** for each mode. A main local oscillator **534** and a pair of mixers **533a**, **533b** also are provided. An amplitude tracking subsystem **440"** also may be responsive to a power control signal **1110**. A pair of variable gain amplifiers and/or power amplifiers **1150a**, **1150b** may be provided. A limiter **1120** also is provided between the modulator **420** and the phase frequency detector **1140**.

In summary, embodiments of Figures 4-9 can deliver low-distortion complex modulation signals containing both amplitude and phase information, with very high signal-to-noise ratio (for example on the order of -165dBc/Hz at 45mHz offset) to a power amplifier. These embodiments can reduce or eliminate the need for SAW filters that are traditionally used in conventional digital radio transmitter architectures. They also can reduce power consumption and spurious products compared to the conventional up-mixing transmitters.

Referring now to Figure 10, a block diagram of other embodiments of modulation systems and methods according to the present invention is shown. As shown in Figure 10, these modulation systems and methods **1000** include a Digital Signal Processor (DSP) **920** that generates in-phase (I), quadrature-phase (Q) and amplitude (A) signals **922**, **924** and **926**, respectively, from a baseband signal **912** that may be generated by a user interface **910**. A modulator such as an IQ modulator **930** modulates the in-phase and quadrature-phase signals **922** and **924**, respectively, to produce a modulated signal **932**. A phase locked loop **940** is responsive to the modulated signal. The phase locked loop **940** includes a controlled oscillator **942** having a controlled oscillator output **944**. An amplifier **950** includes a signal input, an amplitude or gain control input and an output. The signal input is responsive to the controlled oscillator output **944** and the amplitude control input is responsive to the amplitude signal **926**. An optional power amplifier **960** is responsive to the output of the amplifier **950**. A transmit antenna is responsive to the power amplifier **960** and/or amplifier **950**.

Figure 11 illustrates other modulation systems and methods **1100** according to embodiments of the present invention. As shown in Figure 11, the digital signal

processor 920' generates in-phase I and quadrature-phase Q signals 923 and 925, respectively, from a baseband signal 912 at the input 921 thereof. A generator 928 within the digital signal processor 920' then generates normalized in-phase (I') and quadrature-phase (Q') signals 922' and 924' and a normalized amplitude signal 926'.

- 5 It will be understood that the generator 928 may be embodied as a hardware and/or software module in the digital signal processor 920', and that the signals 922', 924' and 926' may be generated directly from the baseband signal 912, without the need to generate the intermediate signals 923, 925. The normalized in-phase and quadrature signals 922' and 924' are applied to a modulator such as an IQ modulator 930, such
- 10 that the modulated signal 936 is of constant amplitude, followed by a phase locked loop 940, amplifier 950, optional power amplifier 960 and antenna 970 as was described in connection with Figure 9. The normalized amplitude signal A' is applied to the gain control input of the amplifier 950.

- Still referring to Figure 11, in embodiments of the invention, the digital signal
- 15 processor 920' generates the normalized in-phase signal I' 922' as a cosine of an angle  $\theta$  and generates the normalized quadrature-phase signal Q' 924' as a sine of the angle  $\theta$ , where the angle  $\theta$  is an angle whose tangent is the quadrature-phase signal 925 divided by the in-phase signal 923. Moreover, the normalized amplitude signal 926' is generated as the square root of the sum of the in-phase signal I 923 squared and the
- 20 quadrature-phase signal Q 925 squared. It will be understood that the sine and cosine functions may be interchanged from that which is described above.

- Embodiments of Figures 10 and 11 can mathematically manipulate I, Q and A signals to allow reduced distortion in modulators. Conventionally, I and Q signals come from the baseband section of a wireless terminal carrying the modulating
- 25 information that represents a voice and/or data signal that is to be transmitted. I and Q signals also can be represented as amplitude and phase signals. As was already described, a conventional transmitter modulates a VCO with this I and Q information and then amplifies the composite signal and up-converts the frequency to the transmit frequency. In sharp contrast, embodiments of Figures 10 and 11 perform numerical
- 30 generation of I, Q and A signals from baseband. Moreover, embodiments of Figure 11 generate normalized I, Q and A signals I', Q' and A', respectively, from baseband. This can eliminate the need for a limiter to inject the signals into the phase locked loop of an rTheta architecture. The amplitude signal A' may be generated numerically

from baseband such that an envelope detector may not be needed for the analog reconstruction of that signal. Amplitude direct from baseband also can allow flexible phase shifting between amplitude and phase waveforms for rTheta architectures.

- More particularly, conventional modulating systems, for example as illustrated in Figures 1, 2 and 3, generate amplitude information from the IQ signal so that the rest of the transmitter chain may need to be linear enough to meet desired modulation specifications. In contrast, if the amplifiers can be saturated instead of linear, current consumption may be reduced. Moreover, conventional modulation systems may have low levels of linearity for a given current consumption. This may be especially true for modulation schemes whose peak-to-average is not a fundamental limit and even further back-off may be needed to satisfy near channel interference levels.

- Moreover, embodiments of Figure 9 may produce an amplitude control signal **442** that may not be ideal because of distortion caused in the IQ modulator **420**. The amplitude tracking circuit **440** also may cause distortion. It also may be generally desirable to place a limiter **1120** between the IQ modulator **420** and the phase locked loop to remove unwanted amplitude information. The limiter **1120** may cause AM/PM distortion in the phase signal **432a**, **432b** and also can cause unwanted delay between the amplitude and phase signals when they are combined at the driver stages **1150a** and **1150b**.

- In contrast, embodiments of Figures 10 and 11 can calculate a desired output for an amplitude tracking subsystem **440** (Figure 4) and can apply this output directly. Moreover, a limiter may not be needed because limiting may already be incorporated into the generation of the I' and Q' signals.

- Figure 12 is a block diagram of modulating systems and methods according to other embodiments of the present invention. As shown in Figure 12, a DSP **920'** generates an I' signal **922'**, a Q' signal **924'** and an A' signal **926'** from a baseband signal **912**. A controlled oscillator **910** and the I' and Q' signals **922'** and **924'**, respectively, are applied to an IQ modulator **930** to produce a modulated signal **932** that is applied to a phase frequency detector **940** including a pair of low pass filters **944a**, **944b** and a pair of controlled oscillators **942a**, **942b**. Also applied to the phase frequency detector **940** is a main local oscillator **990** modulated by second modulators **992a**, **992b**. The output of the controlled oscillators **942a**, **942b** are applied to amplifiers **950a** and **950b**, respectively, which can be variable gain amplifiers and/or other conventional amplifiers such as power amplifiers or driver amplifiers. As also

shown in Figure 12, amplitude control also may be combined with a power control signal **982** in a combined power control and amplitude control module **980**.

Accordingly, an improved rTheta architecture may be provided. Figure 13 is a block diagram of a single band version of Figure 12.

- 5           The following equations show how the I', Q' and A' signals may be calculated for embodiments of Figures 11, 12 and 13:

$$\theta = \tan^{-1}\left(\frac{Q}{I}\right)$$

The angle should be a four-quadrant representation of the I and Q-data.

$$I' = \cos \theta$$

10            $Q' = \sin \theta$

The I' and Q' signals also may be interchanged. Therefore, the I' and Q' signals can be used to modulate the IF, and can create an IF that can be identical to an IQ modulated IF signal that has passed through an ideal limiter. Since the I' and Q' signals can be free of amplitude information, a limiter may not be needed at the input of the phase-frequency detector of the phase locked loop. Phase distortion, or AM/PM distortion

15           that may occur in a real limiter, also may be reduced or eliminated.

The A' signal is calculated as follows:

$$A' = \sqrt{I'^2 + Q'^2}$$

- Since the A' signal is calculated mathematically and applied directly to the amplifier,
- 20           it need not contain any of the distortion created in the IQ modulation of the IF, and it also need not contain any distortion from the amplitude detector circuit.

- Accordingly, limiters/envelope detectors may be removed and related AM/PM distortion may be reduced or eliminated. VCO pulling also may be removed that may arise from amplitude variations on a phase only signal. Sending the amplitude
- 25           directly from baseband can result in exact and repeatable power control, as well as flexibility in phase shifting of amplitude relative to phase only signals in rTheta transmitters.

- In the drawings and specification, there have been disclosed typical preferred embodiments of the invention and, although specific terms are employed, they are
- 30           used in a generic and descriptive sense only and not for purposes of limitation, the scope of the invention being set forth in the following claims.



**What is Claimed is:**

1. A modulation system comprising:  
a digital signal processor that generates in-phase, quadrature-phase and amplitude signals from a baseband signal;  
a modulator that modulates the in-phase and quadrature-phase signals to  
5 produce a modulated signal;  
a phase locked loop that is responsive to the modulated signal, the phase locked loop including a controlled oscillator having a controlled oscillator output; and  
an amplifier having a signal input, an amplitude control input and an output, wherein the signal input is responsive to the controlled oscillator output and the  
10 amplitude control input is responsive to the amplitude signal.
2. A system according to Claim 1 wherein the in-phase and quadrature-phase signals are normalized in-phase and quadrature-phase signals, such that the modulated signal is a constant amplitude modulated signal.
3. A system according to Claim 2 wherein the digital signal processor generates the normalized in-phase signal as one of a cosine and a sine of an angle theta and generates the normalized quadrature-phase signal as the other of a cosine and a sine of the angle theta, where theta is an angle whose tangent is the quadrature-  
15 phase signal divided by the in-phase signal.
4. A system according to Claim 3 wherein the digital signal processor generates the amplitude signal as a square root of a sum of the in-phase signal squared and the quadrature-phase signal squared.
5. A system according to Claim 1 wherein the modulator is a first modulator and the modulated signal is a first modulated signal, the system further comprising a second modulator that is responsive to the controlled oscillator output to produce a second modulated signal, wherein the phase locked loop also is responsive  
5 to the second modulated signal.

6. A system according to Claim 1 further comprising a power control signal, wherein the amplitude control input is responsive to the amplitude signal and to the power control signal.

7. A system according to Claim 1 further comprising:  
a power amplifier that is responsive to the output of the amplifier having a signal input, an amplitude control input and an output; and  
a transmit antenna that is responsive to the power amplifier.

8. A system according to Claim 1 further comprising a transmit antenna that is responsive to the output of the amplifier and a user interface that generates the baseband signal in response to user input, to provide a wireless communications terminal.

9. A system according to Claim 1 wherein the system is free of a limiter between the modulator and the phase locked loop.

10. A system according to Claim 1 wherein the amplifier is a power amplifier.

11. A modulation system comprising:  
a quadrature modulator that modulates in-phase and quadrature-phase signals to produce a modulated signal;

5 produce a phase signal that is responsive to phase changes in the modulated signal and that is independent of amplitude changes in the modulated signal;

an amplitude tracking subsystem that is responsive to the modulator to produce an amplitude signal that is responsive to amplitude changes in the modulated signal and that is independent of phase changes in the modulated signal; and

10 an amplifier having a signal input, an amplitude control input and an output, wherein the signal input is responsive to the phase signal and the amplitude control input is responsive to the amplitude signal.

12. A system according to Claim 11 wherein the phase tracking subsystem comprises a phase locked loop that is responsive to the modulated signal, the phase locked loop including a controlled oscillator having a controlled oscillator output that produces the phase signal.

13. A system according to Claim 12 wherein the amplitude tracking subsystem comprises an automatic gain control subsystem that is responsive to the modulated signal to produce the amplitude signal.

14. A system according to Claim 13 wherein the automatic gain control subsystem further comprises:

- a first envelope detector that is responsive to the modulated signal;
- a second envelope detector that is responsive to the phase locked loop; and
- 5 a comparator that is responsive to the first and second envelope detectors to produce the amplitude signal.

15. A system according to Claim 13 wherein the automatic gain control subsystem further comprises:

- a first envelope detector that is responsive to the modulated signal;
- a second envelope detector that is responsive to the amplifier; and
- 5 a comparator that is responsive to the first and second envelope detectors to produce the amplitude signal.

16. A system according to Claim 12 wherein the amplitude tracking subsystem further comprises:

an envelope detector that is responsive to the modulated signal to produce the amplitude signal.

17. A system according to Claim 12 wherein the phase tracking system further comprises a limiter between the quadrature modulator and the phase locked loop.

18. A system according to Claim 11 further comprising:

a power amplifier that is responsive to the output of the amplifier having a signal input, an amplitude control input and an output; and

a transmit antenna that is responsive to the power amplifier.

19. A system according to Claim 11 further comprising a transmit antenna that is responsive to the output of the amplifier and a user interface that generates the in-phase and quadrature signals in response to user input, to provide a wireless communications terminal.

20. A system according to Claim 11 wherein the amplifier is a power amplifier.

21. A modulation method comprising:

generating in-phase, quadrature-phase and amplitude signals from a baseband signal;

modulating the in-phase and quadrature-phase signals to produce a modulated signal;

phase locking the modulated signal to produce a phase locked signal; and  
amplifying the phase locked signal at a gain that is varied in response to the amplitude signal.

22. A method according to Claim 21 wherein the generating in-phase, quadrature-phase and amplitude signals from a baseband signal comprises generating a normalized in-phase signal, a normalized quadrature-phase signal and a normalized amplitude signal from a baseband signal, such that the modulated signal is a constant amplitude modulated signal.

23. A method according to Claim 22 wherein the generating a constant amplitude in-phase signal, a constant amplitude quadrature-phase signal and a normalized amplitude signal from a baseband signal comprises:

generating an in-phase signal and a quadrature-phase signal from a baseband signal;

generating an angle  $\theta$  whose tangent is the quadrature-phase signal divided by the in-phase signal;

generating the normalized in-phase signal as one of a sine and a cosine of the angle theta; and

- 10 generating the normalized quadrature signal as the other of a sine and a cosine of the angle theta.

24. A method according to Claim 23 wherein the generating a normalized in-phase signal, a normalized quadrature-phase signal and a normalized amplitude signal from a baseband signal further comprises:

- 5 generating the normalized amplitude signal as a square root of a sum of the in-phase signal squared and the quadrature-phase signal squared.

25. A method according to Claim 21 wherein the modulated signal is a first modulated signal, the method further comprising modulating the controlled oscillator output with an oscillator output to produce a second modulated signal, wherein the phase locking the modulated signal comprises phase locking the first and second modulated signals to produce the phase locked signal.

26. A method according to Claim 21 wherein the amplifying comprises amplifying the phase locked signal at a gain that is varied in response to the amplitude signal and a power control signal.

27. A method according to Claim 21 further comprising:  
transmitting the phase locked signal as amplified.

28. A method according to Claim 27 further comprising:  
generating the baseband signal in response to user input, to provide a wireless communications method.

29. A method according to Claim 21 wherein a limiting step is not performed between the modulating the in-phase and quadrature-phase signals to produce a modulated signal and the phase locking the modulated signal.

30. A modulation method comprising:  
modulating in-phase and quadrature signals to produce a modulated signal;

- 5 producing a phase signal from the modulated signal that is responsive to phase changes in the modulated signal and that is independent of amplitude changes in the modulated signal;
- producing an amplitude signal from the modulated signal that is responsive to amplitude changes in the modulated signal and that is independent of phase changes in the modulated signal; and
- 10 amplifying the phase signal at a gain that is varied in response to the amplitude signal.

31. A method according to Claim 30 wherein the producing a phase signal from the modulated signal comprises applying the modulated signal to a phase locked loop that includes a controlled oscillator having a controlled oscillator output that produces the phase signal.

32. A method according to Claim 31 wherein the producing an amplitude signal from the modulated signal comprises automatic gain controlling the modulated signal to produce the amplitude signal.

33. A method according to Claim 32 wherein the automatic gain controlling comprises:
- envelope detecting the modulated signal;
- envelope detecting a signal in the phase locked loop; and
- 5 comparing the envelope detected modulated signal and the envelope detected signal in the phase locked loop to produce the amplitude signal.

34. A method according to Claim 32 wherein the automatic gain controlling comprises:
- envelope detecting the modulated signal;
- envelope detecting the amplified phase signal; and
- 5 comparing the envelope detected modulated signal and the envelope detected amplified phase signal to produce the amplitude signal.

35. A method according to Claim 31 wherein the producing an amplitude signal from the modulated signal comprises:

envelope detecting the modulated signal to produce the amplitude signal.

36. A method according to Claim 31 further comprising limiting the modulated signal, and wherein the applying the modulated signal to a phase locked loop comprises applying the limited modulated signal to a phase locked loop that includes a controlled oscillator having a controlled oscillator output that produces the
- 5 phase signal.

37. A method according to Claim 30 further comprising:  
transmitting the amplified phase signal.

38. A method according to Claim 37 further comprising:  
generating the in-phase and quadrature signals in response to user input, to  
provide a wireless communications method.

**I/Q MODULATION SYSTEMS AND METHODS THAT USE SEPARATE  
PHASE AND AMPLITUDE SIGNAL PATHS**

**Abstract of the Disclosure**

A digital signal processor generates in-phase, quadrature-phase and amplitude signals from a baseband signal. A modulator modulates the in-phase and quadrature-phase signals to produce a modulated signal. A phase locked loop is responsive to the modulated signal. The phase locked loop includes a controlled oscillator having a controlled oscillator input. An amplifier includes a signal input, amplitude control input and an output. The signal input is responsive to the controlled oscillator output and the amplitude control input is responsive to the amplitude signal. The in-phase and quadrature-phase signals may be normalized in-phase and quadrature-phase signals. Alternatively, a phase tracking subsystem may be provided that is responsive to the quadrature modulator to produce a phase signal that is responsive to phase changes in the modulated signal and that is independent of amplitude changes in the modulated signal. An amplitude tracking subsystem also may be provided that is responsive to the modulator to produce an amplitude system that is responsive to amplitude changes in the modulated signal and that is independent of the phase changes in the modulated signal. An amplifier has a signal output, an amplitude control input and an output. The signal input is responsive to the phase signal and the amplitude control input is responsive to the amplitude signal.



**FIG. 1**  
**PRIOR ART**

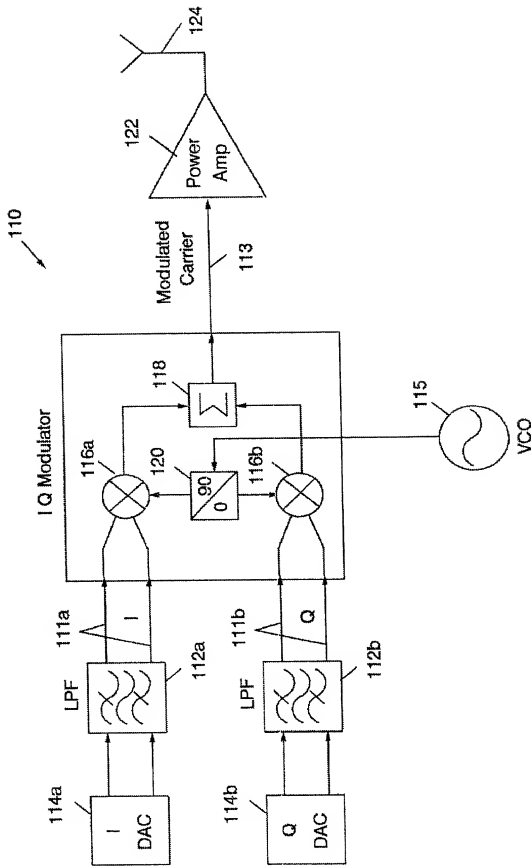


FIG. 2

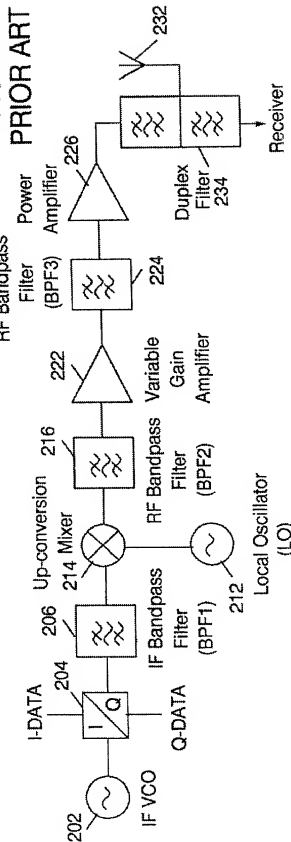


FIG. 3

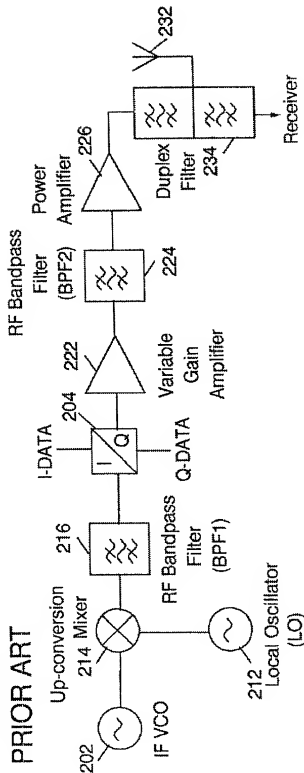


FIG. 4

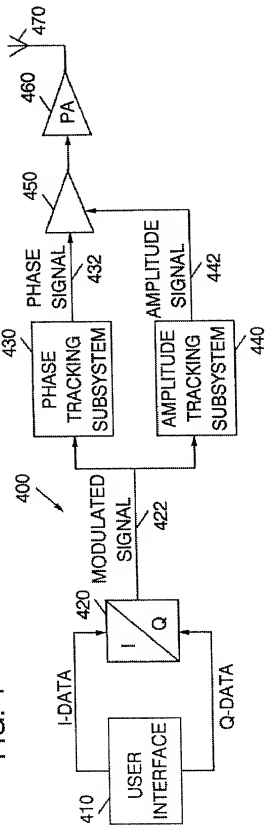
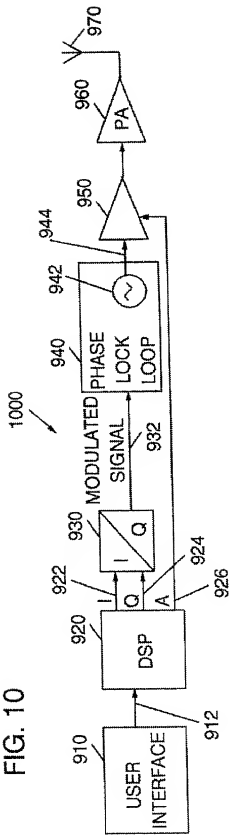


FIG. 10



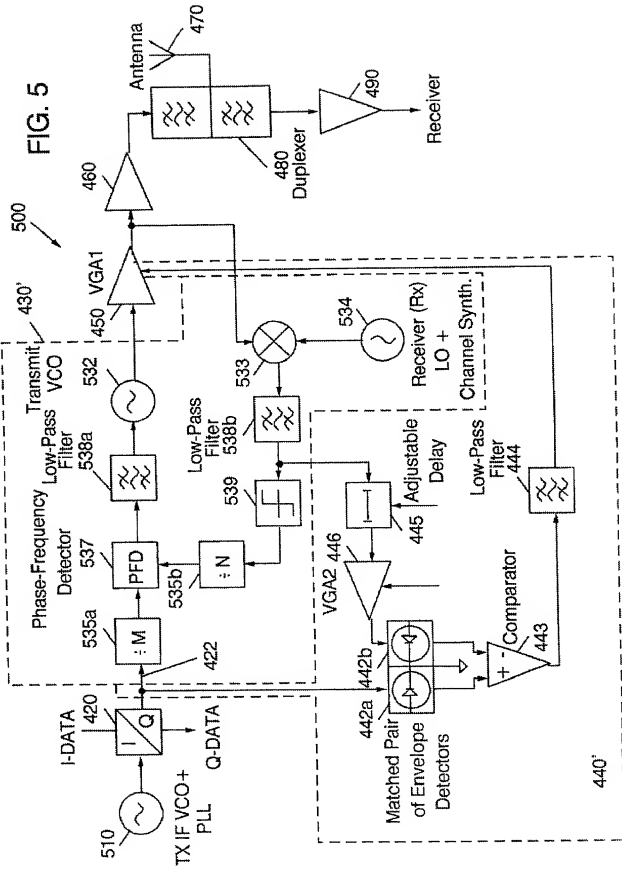


FIG. 6

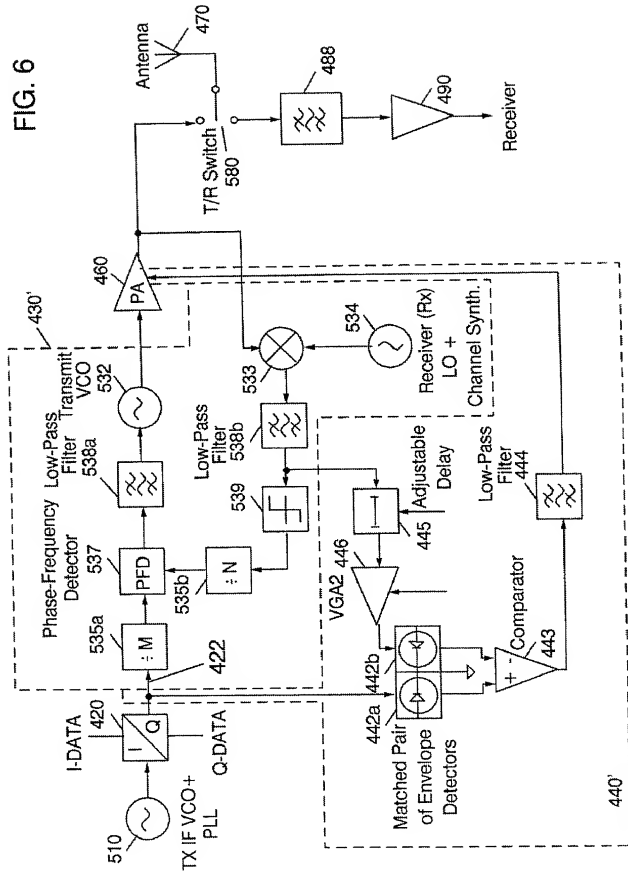


FIG. 7

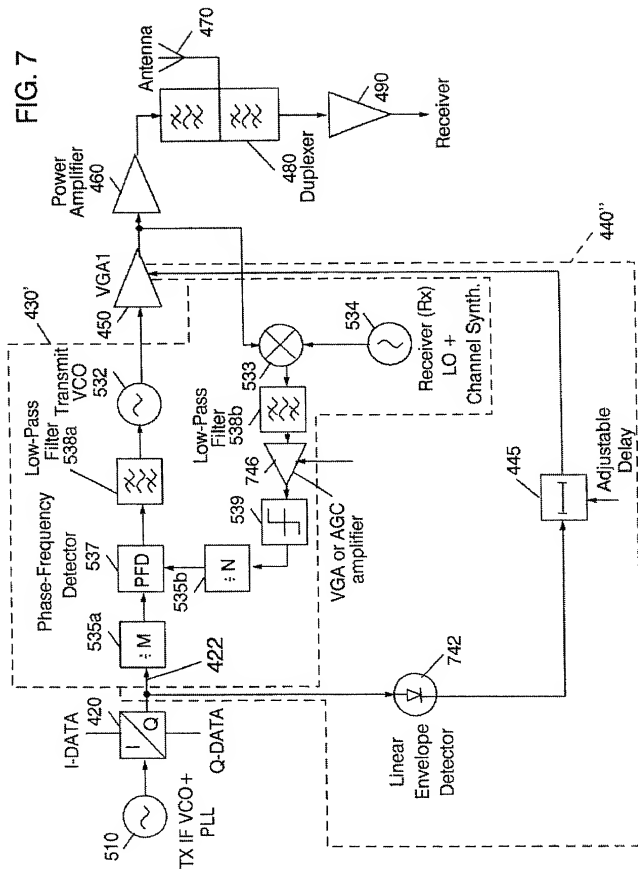


FIG. 8

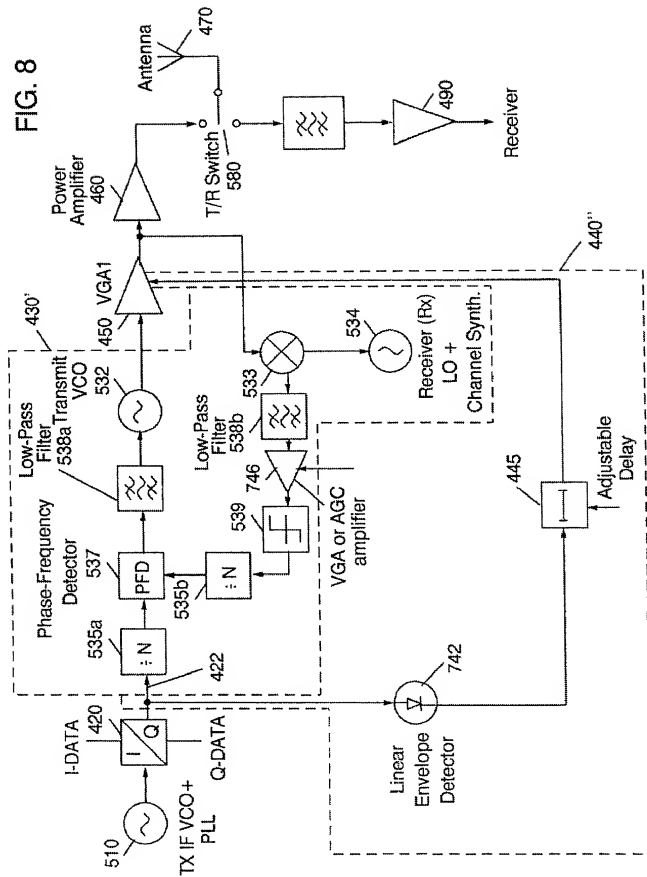


FIG. 9

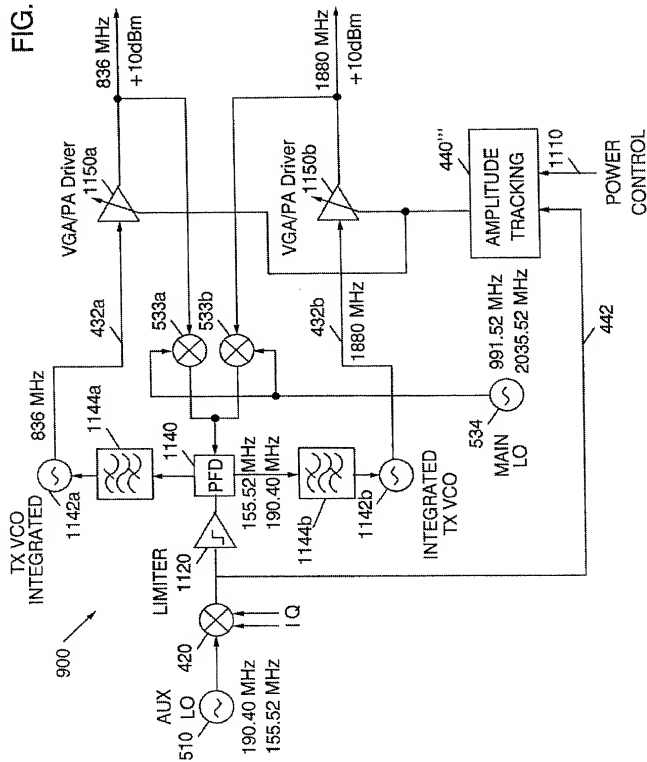




FIG. 12

